

Electronic Acknowledgement Receipt

EFS ID:	1494970
Application Number:	10042371
International Application Number:	
Confirmation Number:	6761
Title of Invention:	Systems and methods for creating and trading dynamic securities
First Named Inventor/Applicant Name:	Andrew C. Gilbert
Customer Number:	64558
Filer:	Antonio Papageorgiou/Michael Brinton
Filer Authorized By:	Antonio Papageorgiou
Attorney Docket Number:	CF-46
Receipt Date:	06-FEB-2007
Filing Date:	27-DEC-2001
Time Stamp:	16:05:30
Application Type:	Utility

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	01-1046_RS_11-09-06.pdf	106125	no	9

Warnings:

Information:	
Total Files Size (in bytes):	106125
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>	